

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**



**PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>4</sup> :</b>  C07H 19/10, 19/20	<b>A1</b>	<b>(11) International Publication Number:</b> WO 89/12063  <b>(43) International Publication Date:</b> 14 December 1989 (14.12.89)
<b>(21) International Application Number:</b> PCT/US89/02361 <b>(22) International Filing Date:</b> 1 June 1989 (01.06.89)  <b>(30) Priority data:</b> 200,876                      1 June 1988 (01.06.88)                      US  <b>(71) Applicant:</b> THE UNITED STATES OF AMERICA, represented by THE SECRETARY, UNITED STATES DEPARTMENT OF COMMERCE [US/US]; Washington, DC 20231 (US).  <b>(72) Inventors:</b> MITCHELL, Lloyd, G. ; 4519 Gretna Street, Bethesda, MD 20814 (US). MERRIL, Carl, R. ; 2 Winder Ct., Rockville, MA 20850 (US).  <b>(74) Agent:</b> OLIFF, James, A.; Oliff & Berridge, 277 S. Washington Street, Alexandria, VA 22314 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> SEQUENCING DNA; A MODIFICATION OF THE POLYMERASE CHAIN REACTION  <b>(57) Abstract</b>  A new process has been developed which facilitates separation of DNA strands following the polymerase chain reaction. The process comprises 1) reacting a double stranded DNA with a biotinylated primer and a non-biotinylated primer to provide a double-stranded DNA wherein one strand has biotin bound to the extension primer; 2) reacting the product of step 1 with a support that will bind the biotin moiety; 3) denaturing the DNA; and 4) separating the amplified single-stranded DNA lacking the biotin moiety from the mixture containing the amplified DNA having biotin bound thereto.		

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	ML	Mali
AU	Australia	FR	France	MR	Mauritania
BB	Barbados	GA	Gabon	MW	Malawi
BE	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IT	Italy	RO	Romania
BJ	Benin	JP	Japan	SD	Sudan
BR	Brazil	KP	Democratic People's Republic of Korea	SE	Sweden
CF	Central African Republic	KR	Republic of Korea	SN	Senegal
CG	Congo	LJ	Liechtenstein	SU	Soviet Union
CH	Switzerland	LK	Sri Lanka	TD	Chad
CM	Cameroon	LJ	Luxembourg	TG	Togo
DE	Germany, Federal Republic of	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar		
ES	Spain				

- 1 -

SEQUENCING DNA; A MODIFICATION  
OF THE POLYMERASE CHAIN REACTION

## SUMMARY OF INVENTION

The direct dideoxy sequencing of DNA which has  
5 been amplified by the polymerase chain reaction (PCR) is  
generally difficult and labor intensive because the  
complementary strand may displace the sequencing primer  
from the template strand. This problem has been elimi-  
nated by the use of a biolinked primer and a primer  
10 which has not undergone biotinylation. This process  
facilitates separation of the DNA strands following the  
polymerase chain reaction process. The biotinylation/PCR  
product is then exposed to a support which will selec-  
tively bind the biotinylated strand. The non-  
15 biotinylated strand may then be removed from the mixture.

## BACKGROUND OF THE INVENTION

The analysis of DNA has generated many advances  
in understanding and diagnosis of inherited disorders and  
pathogen-mediated diseases. (Caskey, Science 236, 1223-  
20 1229, (1987); Holt, Nature 331, 717-719.) Methods of DNA  
analysis have also been employed to quantify the level of  
genetic variation in populations, and have been applied  
to the forensic identification of individuals.  
(Jeffries, Nature 318, 577-579, Higuchi, Nature 332, 543-  
25 546 (1988).) In many cases, DNA analysis such as  
restriction length polymorphism is insufficient and  
nucleotide sequence analysis is required. However, most  
DNA samples are too small and contain insufficient DNA to  
permit direct DNA sequencing. Recently, a method for  
30 rapidly amplifying microgram quantities of DNA from  
defined regions was developed. (Saki, Science 230, 1350-  
1354, (1985).) The method, known as the polymerase chain  
reaction (PCR) can increase the number of copies of DNA  
for analysis by up to 10 million fold from a sample con-  
35 taining only a single DNA molecule. (Saki, Science 239,  
487-491, (1988).) The polymerase chain reaction method  
is taught in U.S. Patent 4,683,202, which is incorporated  
herein by reference.

- 2 -

Direct dideoxy sequencing of PCR amplified DNA is generally difficult. This difficulty is caused by the physical nature of the PCR amplified DNA. After the PCR reaction, the product consists of short pieces of linear double stranded DNA (DS DNA), and contains unreacted primers and dideoxynucleotides. The unreacted primers cause two problems. The first is that these primers will prime sequencing reactions as well as the PCR reaction. Therefore, the sequencing primer must carry the only label in the sequencing reaction if any residual amplification primers are present, so that only the sequencing primer products are detectable. The second problem is that residual amplification primer will bind template sites, reducing the amount of template available to the sequencing primer.

Linear double stranded DNA is generally unsatisfactory as a template for dideoxy sequence reactions because the complementary strand can form many more hydrogen bonds than the sequencing primer. The complementary strand can displace the sequencing primer from a significant proportion of the template strands, greatly decreasing the amount of specific termination products formed in the subsequent sequencing reaction. The first method to overcome this problem utilized an M13 vector. The PCR amplified DNA was cloned into this vector to generate single strand DNA (SS DNA) for sequencing. (Scharf, Science 233, 1076-1078, (1986).) The results from M13 sequencing reactions are generally excellent, but cloning and processing the M13 virus requires several days. Recently, several alternative methods have been described in order to decrease the time required for obtaining sequence information following PCR.

The "triple primer method" described by Wrischnick et al, provides for the removal of unreacted PCR primers by the use of a centrifugal filtration device. (Wrischnick et al., Nucleic Acid Research 15 No.

- 3 -

2, 529-541 (1987).) The retained liner DS DNA is denatured by heat and sequenced with a nested third primer. The sequencing primer is required to be 5' end labeled to distinguish its chain termination products from those produced by residual amplification primers. This method has proven to be difficult since both the sequencing template and its complement are present, resulting in the loss of many template molecules by the re-annealing of the two PCR product strands.

Attempts to improve this method by the addition of a "blocking primer" to the triple primer method were unsuccessful. A "blocker" was employed in 50mer nucleotide complementary to the non-template strand. The blocker was designed to prevent the non-template strand from re-annealing to the template strand. A series of four 20mer primers complementary to the template were tried, the first ended 40 bases from the 3' end of the blocker, the second ended 9 bases from the 3' end of the blocker, the third primer overlapped the blocker by five bases, and the fourth completely overlapped the blocker.

Another possible method to prepare the PCR amplified DNA involves separation of the PCR DNA into single strands by denaturing gel electrophoresis. Strand separation should be quite effective in producing high quality sequencing templates from DNA regions with sufficient strand bias. However, many DNA fragments do not contain the level of strand bias required to form separate single strands by denaturing gel electrophoresis by the method of Mantialis. (Mantialis, Molecular Cloning Laboratory Manual.) These DNA regions could not be sequenced by this method. The time needed to perform the strand separation electrophoresis and extraction of the strands, as well as the losses of DNA incurred during the extraction process was unduly long.

Another method suggested to avoid the strand bias problem would employ two rounds of PCR. Standard PCR amplification would be performed and the product

- 4 -

purified by non-denaturing gel electrophoresis. The band of interest would be extracted and that DNA subjected to a second round of PCR in the presence of only one of the extension primers. Only one strand could be copied, primed by the single available primer. The final product should contain both double stranded DNA as well as single stranded DNA. The excess single stranded DNA would be available as a sequencing template. This double PCR method would require the time for gel electrophoresis/DNA extraction, as well as a second PCR reaction. A modified version of this method would limit the concentration of one amplification primer. As the PCR reaction progressed, the limited primer supply would be exhausted, so that only strands produced by the non-limited primer would continue to accumulate. This modification would eliminate the necessity for gel electrophoresis and a second round of PCR. When this method was employed it was not possible to obtain detectable quantities of SS DNA.

Stoflet et al. introduced a method which utilizes a phage T7 promoter incorporated into one of the amplification primers. (Stoflet et al., Science 239, 491-494, (1988).) Their method is known as genomic amplification with transcript sequencing (GAWTS). Following PCR, the product DNA contains a T7 promoter on one strand. This strand is transcribed by a promoter specific RNA polymerase, producing SS RNA. The RNA transcript is then sequenced using reverse transcriptase and a labeled third primer. Besides the sequence of interest, the amplified and transcribed product contains sequences from other genomic regions which cross-hybridize to the PCR primers. To avoid sequencing these contaminating regions, the reverse transcriptase primer should be complimentary to a region removed from the site of the amplification primer. This sequencing primer is also required to contain the only available label.

#### DESCRIPTION OF THE FIGURES

- 5 -

Figure 1 is a diagram of the method which results in generation of single stranded DNA.

Figure 2 is a photograph of the amplified DNA obtained in example II.

5        Figure 3 is an autoradiograph of sequences obtained by the biotinylation/PCR primer method. The template for dideoxy sequencing was made as described in Figure 2. Sequence reactions were performed with modified T7 DNA polymerase (Sequenase from US Biochemical) using the reagents and conditions supplied by the manufacturer. Because the template DNA was relatively short, the primer-template-enzyme-label mix was added to the premixed dNTP-ddNTP's so that the proximal region of the template would be sequenced. Electrophoretic separation was performed in an 8% \*PIP-acrylamide gel. (Hochstrasser, in press.) Arrow \*(s) indicate sequence polymorphisms.

10        Figure 4 shows alignment of base polymorphisms of Mt DNA sequenced by the method described in Figure 3. Plasmids pCJK, pBHK, pLKK, pCDK were furnished by Barry Greenberg and Sugino. Mt DNA samples were obtained from donated platelets. Platelets were prepared using the method of Tapper. (Tapper, Van Ettan and Clayton, Meth. Enz. 97, 426-434, (1983).)

25        DESCRIPTION OF THE INVENTION

It is the purpose of this invention to provide a method for sequencing DNA that would be less time-consuming and that would provide a reliable means of sequencing DNA for analysis.

30        It is a further object of this invention to provide a more cost-effective method of sequencing DNA.

This invention provides a method for sequencing DNA based on the polymerase chain reaction (PCR) that is simpler to execute and faster than previously described methods. The primary inventive step is the biotinylation of one of the two extension primers used in the polymerase chain reaction amplification. One primer should



- 6 -

be biotinylated. The primer was biotinylated on the 5' end in the examples. Upon completion of the PCR step, the DNA products consists of double stranded DNA which has one biotinylated strand. This reaction product is then exposed to a product which will selectively bind the biotinylated strand of DNA. The strands are denatured and the non-biotinylated strand is then collected free from the biotinylated strand. The strand free of the biotin can then undergo DNA sequencing. Alternatively, double stranded DNA may be amplified with a biotinylated primer and with a non-biotinylated primer in the presence of dideoxy-nucleotides which would constitute a DNA sequencing reaction. The product would then be exposed to a support which binds the biotin moiety. Denaturation may then be accomplished. The non-biotinylated DNA would then be collected in accord with methods used to collect non-sequenced amplified DNA. The examples provided are not to be considered as limitations, but merely as illustrations of the method claimed herein. Many variations in the method would be obvious to one of ordinary skill in the art.

In one instance, following the biotinylation/PCR process the reaction product containing double stranded DNA having one biotinylated strand was treated in the following manner: an equal volume of strepavidin agarose was added to the reacted PCR mix and held at 37c for 30 minutes. The incubated mixture was then placed on a Sephadex G-50 spin column. The unreacted reagents were removed by two washes with 10mM Tris-HCl (pH 7.4), 1mM EDTA (TE). Unlabeled SS DNA was eluted from the bound biotinylated strand by two sequential additions of 0.1 N NaOH followed by centrifugation to increase recovery. The elutant was neutralized with ammonium acetate and recovered by ethanol precipitation. The resultant pellet was dissolved in TE. The product is immediately available for use as template in dideoxy sequencing.

The interaction between strepavidin and biotin

- 7 -

is highly specific and once formed is very difficult to dissociate. There is no requirement for the use of a labeled sequencing primer as the unreacted biotinylated primer is removed by the combination of strepavidin agarose and two washes through Sephadex G-50. This step alone represents a significant improvement over techniques which require radiolabeled primer for sequence visualization. Radiolabeled primers have short stabilities and provide only a single label for each strand generated by dideoxy termination.

As an alternative, a solution of 50% guanidine isothiocyanate/formamide could be employed to remove the bound biotinylated/PCR processed DNA for use in dideoxy sequencing according to the method of Delius. (Delius, Nucleic Acid Research 13, 5457-5469, (1985).)

Currently two simultaneous amplifications are performed for each region to be sequenced, with the forward primer biotinylated in one reaction, and the reverse primer biotinylated in the second. Magnetic beads had also been used. However, they have a lower affinity for biotin and are more expensive to use than strepavidin-agarose beads.

#### Example I

Single stranded DNA was generated as follows. An equal volume of strepavidin-agarose beads (Bethesda Research Labs) was added to the biotinylated/PCR crude product and held at 37c for 30 min with occasional shaking to resuspend the beads. (The strepavidin-agarose was washed 5x in TE and resuspended in enough buffer so that it could be easily pipetted.) The PCR-strepavidin-agarose mixture was pipetted onto a Sephadex G-50 spin column (Boehringer) and washed twice with 0.5 ml TE followed by centrifugation at 1,000 xg for 5 min. 0.1 ml of 0.1 N NaOH was added to the column. After 6 minutes the column was spun at 1,000 xg for 5 min. The NaOH denaturation step was performed two times. 0.2 ml of 5 M ammonium acetate (pH 5.4) was added to the column,

- 8 -

followed by centrifugation. Two volumes of ethanol were added to the recovered eluent, and held at -20c for 30 min. SS DNA was recovered by centrifugation for 20 min at 12,000 xg. The resulting pellet was washed once in 70% ethanol and dried with vacuum centrifugation. The pellet was redissolved in 20 ul of TE buffer. The quantity of SS DNA was estimated by comparison of fluorescence of SS DNA to that of OX174 marker in an ethidium stained agarose gel. Generally, 1.5 ul of the SS DNA solution provided sufficient template for dideoxy sequencing using Sequenase. (See Figure 1.)

#### Example II

PCR was performed with Taq polymerase (Cetus) for 30 cycles by hand or using a Perkin-Elmer Cetus Thermal Cycler to amplify mitochondrial DNA lying between nucleotide positions 110 and 469. A cycle was defined as 1 minute at 90°C, 2 min at 54°C, and 3 min at 72°C. The PCR was carried out in a volume of 200 ul in the presence of 50 mM KCl, 10 mM Tris-HCl (pH 8.3), 1.5 mM MgCl<sub>2</sub>, 0.01% gelatin, 1 uM of a biotinylated primer (prepared by Clontech) and 1 uM of non-biotinylated primer, 200 uM each dNTP, and 0.1 pg to 5 ng of target DNA. The primers were complimentary to the Mitochondrial (Mt) DNA positions 90-109 (primer #10) and 489-470 (primer #12) (Anderson et al., Nature 290, 457-465, (1981)). Each primer was synthesized both with and without biotin. PCR was performed twice on each sample for sequence analysis; one reaction with biotinylated #10 and unbiotinylated #12, the second reaction with unbiotinylated #10 and biotinylated #12. Amplification was verified by electrophoresis of 2.5% of the PCR product in a 1.5% agarose gel, along with 0.5 ug of OX174 Hae DNA fragments. After the gel was stained with ethidium bromide, the amount of product was estimated based on comparison between the UV fluorescence of the amplified DNA band and the size marker bands. (See Figure 2.)

#### Example III

- 9 -

The template for dideoxy sequencing was made as described in Example 2. Sequence reactions were performed with modified T7 DNA polymerase (Sequenase US Biochemical) using the reagents and conditions supplied by the manufacturer. Because the template DNA was relatively short, the primer-template-enzyme-label mix was added to the premixed dNTP-ddNTP's so that the proximal region of the template would be sequenced. Electrophoretic separation was performed in an 8% bis-acrylamide gel. (See Figure 3.)

#### Example IV

##### Biotinylation of the primer

Nucleic acid primer sequence of the structure GCGAGACGCT GGAGCCGGAG (primer #10) was synthesized with an amino modifier, AminoModifer I (AMI) obtained from Clontech Laboratories to produce a modified primer using a DNA synthesizer. The modified primer was removed from the column by elution with concentrated ammonium hydroxide. The modified primer was then deprotected by treatment with 80:20 acetic acid:water for one hour at room temperature.

Biotinylation of the modified primer was carried out in the following manner: to 0.1 uM deprotected modified primer in 800 ul of 100mM sodium bicarbonate (pH 9) was added 200 ul of a 10 mg/ml solution of biotin (Biotin-X-NHS ester, where x is a 6-aminocaproic acid spacer, a product of Clontech) in N,N dimethylformamide. The solution was allowed to stand overnight. The biotinylated product was used in the process of Example 2.

#### RESULTS

The first region chosen for sequencing using the biotinylated primer PCR method was a section of the mitochondrial D-loop lying between the nucleotide positions 110 and 469. (Anderson et al. Nature 290, 457-465, (1981).) Mitochondrial D-loop sequences are highly polymorphic between individuals. (Greenberg, Gene 21, 33-49,

- 10 -

(1983).) The region between 110 and 469 has been described as hypervariable compared to average D-loop variability. (Aquadro et al., Genetics 103, 287-312, (1983).) Amplification primers and PCR conditions were as described in Example 2. To determine the fidelity of the biotinylated primer PCR method, D-loop clones for which sequences had previously been reported were sequenced. A total of 783 nucleotides from four clones was determined. (Figure 3.) All previously documented polymorphisms in the regions sequenced with the single exception of clone pCDK position 186, which we recorded as a G to T transversion were verified. (Greenberg had reported this as a G to A transition.)

Sequences were also determined for 751 nucleotides from five individuals with the biotinylated primer PCR method. A total of 13 differences were discovered in comparison to the sequence published by Anderson. (Figure 4.) Four of these changes occurred at positions where polymorphism had not been previously noted.

There is a high level of agreement for the cloned Mitochondrial DNA sequences obtained using the biotinylated primer PCR method and the original M13 sequencing. The single divergence between the results of the two methods could possibly be an artifact caused by passage in the cloning vector. Sixty-nine percent of the changes reported for newly sequenced individuals occur at positions previously identified as polymorphic, again indicating a high level of sequencing fidelity.

The entire biotinylated primer PCR method including: PCR amplification, strand separation, dideoxy reaction, and gel manipulations to the point of exposing the autoradiogram, can easily be performed on twelve samples in a normal working day. There are now several methods available for rapid and direct sequencing of PCR amplified DNA. The biotinylated primer PCR method allows the user to avoid the difficulty of sequencing a linear DS DNA template and eliminates the need for a third poly-

- 11 -

merase system.

The technique of biotinylated primer PCR should have applications in both fields of research and clinical medicine. In the case of a gene for which the sequence  
5 of one allele is known, the rapid sequencing of the same gene from individuals with defective function could lead to elucidation of the responsible mutation. Possible clinical applications include direct carrier testing, prenatal detection of genetic mutation, diagnosis of  
10 infectious diseases, and forensic identification. The single stranded DNA could be used for purposes such as for generation of probes.

- 12 -

WHAT IS CLAIMED IS:

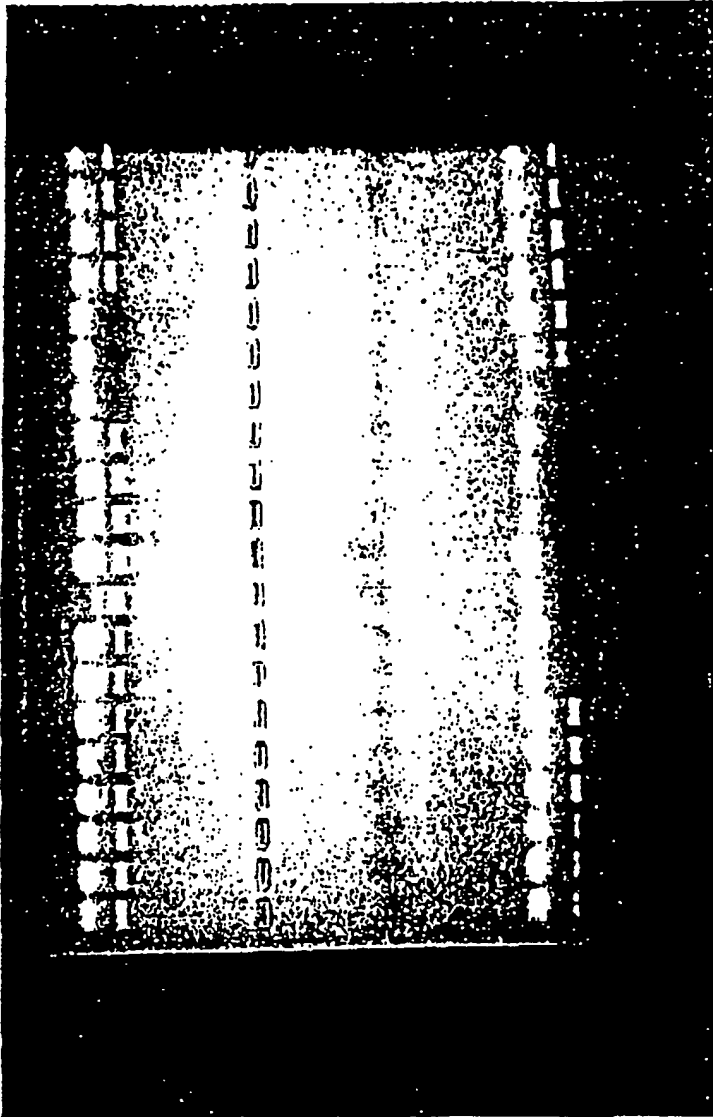
1. An amplified double-stranded DNA wherein one strand has biotin bound to the extension primer.
2. An amplified single strand of DNA bound to  
5 a support by a biotin moiety.
3. A method of producing a biotinylated, amplified DNA of claim 1 comprising the steps of:
  - (1) Modifying a primer with an amino modifier;  
10
  - (2) Biotinylating the modified primer to provide a biotinylated primer; and
  - (3) Amplifying a double-stranded DNA with a biotinylated primer produced in step 2 and a non-biotinylated primer.
- 15 4. A method of sequencing DNA comprising the steps of:
  - (1) Reacting a double stranded DNA with a biotinylated primer and a non-biotinylated primer to provide a double-stranded DNA of claim 1;
  - 20 (2) Reacting the product of step (1) with a support that will bind the biotin moiety;
  - (3) Denaturing the DNA; and
  - (4) Separating the amplified single-stranded DNA lacking the biotin moiety from the mixture  
25 containing the amplified DNA having Biotin bound thereto.





2/4

2. *[Handwritten signature]*



3-6-88

3/4

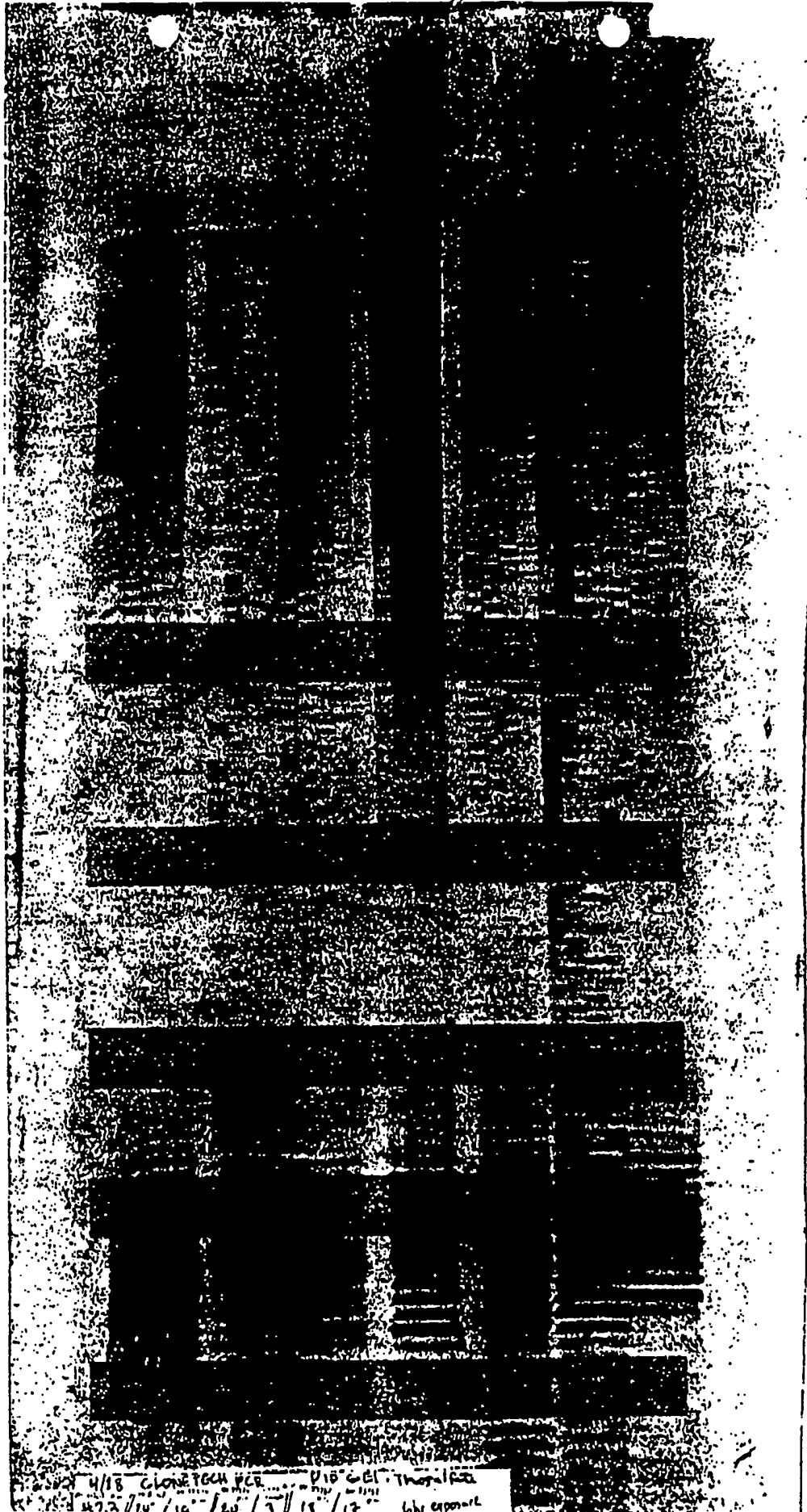


Fig. 3

4/4

1. The present invention relates to a method for determining the sequence of a nucleic acid, and to a method for determining the sequence of a protein, and to a method for determining the sequence of a polypeptide.

	SEQUENCE										NUCLEOTIDE POSITIONS SEQUENCED
	1	2	3	4	5	6	7	8	9	10	
Anderson	A	G	G	A	G	C	G	T	A	T	C
pCIR	/	/	/	G							152-242/341-427
pBHK											144-244/342-420
pLKK	G			G							144-242/342-420
pCDK	/	/	/	A	+T	G					172-294/329-420
Mt 77374	G	A	A	C	G						142-294
Mt 81368				T							166-259/303-429
Mt 83962	/	/	/								172-294/364-420
Mt 77609	/	/	/	/	/	/	/	/	/	A	357-428
Mt 79737	/	/	/	/	/	/	/	/	/	/	323-424

0 = NEW POLYMORPHISM POSITION  
 \* = BASE CHANGE DIFFERENT FROM THAT REPORTED IN THE LITERATURE  
 / = UNSEQUENCED REGION

Fig 4

# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US89/02361**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <b>IPC(4): C07H 19/10, 19/20</b> <b>U.S. Cl.: 435/6, 91, 172.3, 803, 810; 536/26, 27, 28, 29</b>																										
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; text-align: left; border-bottom: 1px solid black;">Classification System</th> <th style="text-align: left; border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;"><b>U.S.</b></td> <td style="padding: 5px;"> <b>435/6, 91, 172.3, 803, 810</b>  <b>536/26, 27, 28, 29</b> </td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	<b>U.S.</b>	<b>435/6, 91, 172.3, 803, 810</b> <b>536/26, 27, 28, 29</b>																				
Classification System	Classification Symbols																									
<b>U.S.</b>	<b>435/6, 91, 172.3, 803, 810</b> <b>536/26, 27, 28, 29</b>																									
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; text-align: left; border-bottom: 1px solid black;">Category <sup>*</sup></th> <th style="text-align: left; border-bottom: 1px solid black;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="text-align: left; border-bottom: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, P</td> <td style="padding: 5px;">US, A, 4,818,680 (COLLINS et al.) 04 April 1989, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, P</td> <td style="padding: 5px;">US, A, 4,822,731 (WATSON et al.) 18 April 1989, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, P</td> <td style="padding: 5px;">US, A, 4,828,979 (KLEVAN et al.) 09 May 1989, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, T</td> <td style="padding: 5px;">US, A, 4,840,892 (ADAMS et al.) 20 June 1989, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, P</td> <td style="padding: 5px;">US, A, 4,729,947 (MIDDENDORF et al.) 08 August 1988, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y, P</td> <td style="padding: 5px;">US, A, 4,766,062 (DIAMOND et al.) 23 July 1988, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> <tr> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">Y</td> <td style="padding: 5px;">EP, A 063879 (WARD et al.) 06 April 1982, See entire document.</td> <td style="vertical-align: top; border-right: 1px solid black; padding: 5px;">1-4</td> </tr> </table>			Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	Y, P	US, A, 4,818,680 (COLLINS et al.) 04 April 1989, See entire document.	1-4	Y, P	US, A, 4,822,731 (WATSON et al.) 18 April 1989, See entire document.	1-4	Y, P	US, A, 4,828,979 (KLEVAN et al.) 09 May 1989, See entire document.	1-4	Y, T	US, A, 4,840,892 (ADAMS et al.) 20 June 1989, See entire document.	1-4	Y, P	US, A, 4,729,947 (MIDDENDORF et al.) 08 August 1988, See entire document.	1-4	Y, P	US, A, 4,766,062 (DIAMOND et al.) 23 July 1988, See entire document.	1-4	Y	EP, A 063879 (WARD et al.) 06 April 1982, See entire document.	1-4
Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>																								
Y, P	US, A, 4,818,680 (COLLINS et al.) 04 April 1989, See entire document.	1-4																								
Y, P	US, A, 4,822,731 (WATSON et al.) 18 April 1989, See entire document.	1-4																								
Y, P	US, A, 4,828,979 (KLEVAN et al.) 09 May 1989, See entire document.	1-4																								
Y, T	US, A, 4,840,892 (ADAMS et al.) 20 June 1989, See entire document.	1-4																								
Y, P	US, A, 4,729,947 (MIDDENDORF et al.) 08 August 1988, See entire document.	1-4																								
Y, P	US, A, 4,766,062 (DIAMOND et al.) 23 July 1988, See entire document.	1-4																								
Y	EP, A 063879 (WARD et al.) 06 April 1982, See entire document.	1-4																								
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 50%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>																										
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-right: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search   <b>30 June 1989</b> </td> <td style="padding: 5px;">           Date of Mailing of this International Search Report   <div style="font-size: 1.2em; font-weight: bold;">26 SEP 1989</div> </td> </tr> <tr> <td style="width: 50%; border-right: 1px solid black; padding: 5px;">           International Searching Authority   <b>ISA/US</b> </td> <td style="padding: 5px;">           Signature of Authorized Officer  <b>JAMES O. WILSON</b> </td> </tr> </table>			Date of the Actual Completion of the International Search  <b>30 June 1989</b>	Date of Mailing of this International Search Report  <div style="font-size: 1.2em; font-weight: bold;">26 SEP 1989</div>	International Searching Authority  <b>ISA/US</b>	Signature of Authorized Officer <b>JAMES O. WILSON</b>																				
Date of the Actual Completion of the International Search  <b>30 June 1989</b>	Date of Mailing of this International Search Report  <div style="font-size: 1.2em; font-weight: bold;">26 SEP 1989</div>																									
International Searching Authority  <b>ISA/US</b>	Signature of Authorized Officer <b>JAMES O. WILSON</b>																									